# CITY OF ENCINITAS

## INVESTMENT REPORT MEMORANDUM
## Nine months ended March 31, 2016

**TO:** City Council

**VIA:** Karen P. Brust, City Manager

**FROM:** Tim Nash, Finance Director/City Treasurer

**DATE:** April 21, 2016

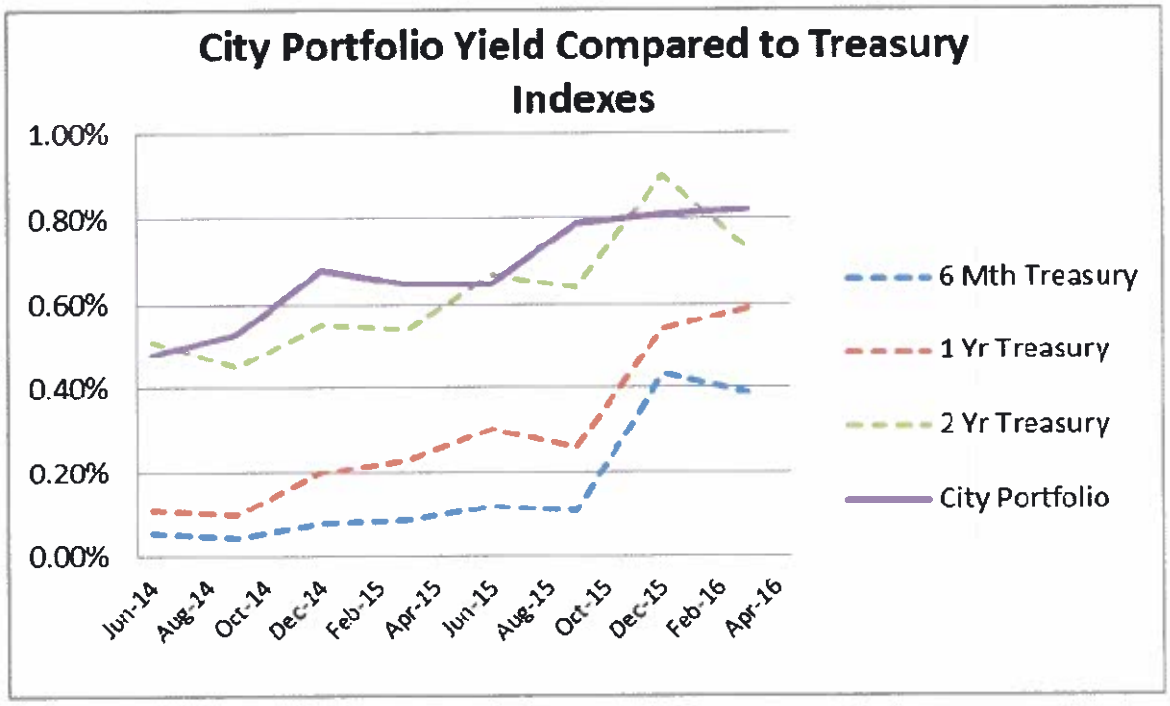
**SUBJECT:** City of Encinitas Investment Report for the nine (9) months ended March 31, 2016

It is the practice of the Finance Department to report on the status of City investments to the City Council on a periodic basis. Currently, the process is to report investment positions and results quarterly via memorandum, with attachments showing positions and earnings by investment and in summary. This report covers only the City's Pooled Investment fund (the "Pool".) It does not cover monies invested by the City's bond trustee, which are reported on an annual basis.

The Pool had an investable balance of $99.8 million at March 31, 2016, compared to an average investable balance for the period of $94.7 million. The City utilizes a variety of investment vehicles, including direct investments and indirect investments. Direct investments are primarily fixed income securities issued by the US Treasury and several US Gov't Agencies, such as the Federal National Mortgage Association (FNMA) and the Federal Home Loan Mortgage Corporation (FHLMC). Indirect investments include money market funds and pooled investments funds managed by other agencies, such as the California LAIF fund. Indirect investment balances are generally available to the City on a next day basis, and are classified as "liquid" meaning available to pay current demands. Monies invested in direct securities can only be accessed via the maturity of a security, or selling the security prior to maturity. The final maturities on our direct securities vary from about one month to almost four years. The City has total liquidity, including cash in the bank, of $25.4 million at March 31, 2016.

| FY 2015-16 | Jun 30, 2016 | Mar 31, 2016 | Dec 31, 2015 | Sep 30, 2015 |
|---|---|---|---|---|
| Book Value | | $ 99,837,788 | $ 95,673,936 | $ 91,038,563 |
| Market Value | | $ 100,199,530 | $ 95,517,379 | $ 91,305,660 |
| Unrealized Gain/(Loss) | | $ 361,742 | $ (156,557) | $ 267,097 |
| Unrealized Gain/Loss as % of Book Value | | 0.3623% | -0.1636% | 0.2934% |
| Effective Rate of Return | | 0.82% | 0.81% | 0.79% |
| Average Yield To Maturity | | 0.852% | 0.809% | 0.828% |
| Average Maturity (Years) | | 1.25 | 1.34 | 1.63 |
| Investment Earnings Year to Date | | $ 581,249 | $ 375,728 | $ 191,632 |

The Pool earned $581,249 during the first nine months of FY 2015-16 for an annualized return of 0.82%. This compares to the City's adopted performance benchmark of the one (1) year constant maturity Treasury index at 0.59%. The City informally utilizes an alternative benchmark of the two-year US Treasury note yield for comparison. This is a more appropriate comparison when the weighted-average maturity (WAM) of the Pool is greater than one year (the current WAM is 455 days or 1.25 years.) The 2 yr. T-note constant maturity index on 3-31-16 was 0.73%.




The market value of the City's direct investments is greater than their carrying value by $361,742 as of March 31, 2016, meaning that we have unrealized gains on securities held. In the last quarter interest rates declined causing an increase to the market value of securities held which generated the unrealized gain. Since the City has never had to sell a security prior to maturity, any unrealized gains or losses would only constitute a timing difference.

The public's monies are extremely safe and well-diversified, and are in line with the City's adopted investment strategy and the overall direction of the City Council.

ATTACHMENTS – Portfolio Management and Interest Earnings Reports

# City of Encinitas - Qtr Inv Report
## Portfolio Management
## Portfolio Summary
## March 31, 2016

CITY OF ENCINITAS
505 S. VULCAN
ENCINITAS, ENCINITAS, CA 9
(760)633-2641

| Investments | Par Value | Market Value | Book Value | % of Portfolio | YTM | Term | Days to Maturity |
|---|---|---|---|---|---|---|---|
| Local Agency Investment Funds | 23,063,811.96 | 23,063,811.96 | 23,063,811.96 | 23.40 | 0.510 | 1 | 1 |
| Managed Pool Accounts | 1,002,239.92 | 1,002,239.92 | 1,002,239.92 | 1.02 | 0.500 | 1 | 1 |
| Money Market Funds | 28,938.66 | 28,938.66 | 28,938.66 | 0.03 | 0.180 | 1 | 1 |
| Certificates of Deposit - Bank | 4,464,000.00 | 4,493,025.92 | 4,464,000.00 | 4.53 | 1.328 | 1,257 | 530 |
| U.S. Treasury Coupon Securities | 22,000,000.00 | 22,040,716.92 | 21,982,601.54 | 22.30 | 0.740 | 884 | 431 |
| Federal Agency Coupon Securities | 44,000,000.00 | 44,410,470.00 | 44,135,746.37 | 44.77 | 1.069 | 1,173 | 724 |
| Federal Agency Callable Securities | 3,900,000.00 | 3,899,877.00 | 3,900,000.00 | 3.96 | 0.598 | 1,185 | 263 |
| **Investments** | **98,458,990.54** | **98,939,080.38** | **98,577,338.45** | **100.00%** | **0.852** | **826** | **455** |
| **Cash** | | | | | | | |
| Passbook/Checking (not included in yield calculations) | 1,260,449.69 | 1,260,449.69 | 1,260,449.69 | | 0.000 | 1 | 1 |
| **Total Cash and Investments** | **99,719,440.23** | **100,199,530.07** | **99,837,788.14** | | **0.852** | **826** | **455** |

| Total Earnings | March 31 Period Ending |
|---|---|
| Current Year | 581,248.63 |
| **Average Daily Balance** | **94,654,610.11** |
| **Effective Rate of Return** | **0.82%** |

I certify the accuracy of the attached report on the City of Encinitas' Pooled Investment Fund. All funds for which the City has oversight responsibility are reflected in this report. All investments are in compliance with the City's adopted Investment Policy., which is available through the City Clerk's office. The City's Investment Program provides sufficient liquidity to meet the next six (6) months expenditure requirements. The source of the market values of investments owned is Union Bank of California Custody Services.

Tim Nash, Finance Director \ Treasurer

**Reporting period 07/01/2015-03/31/2016**
Data Updated: SET_QTR: 04/11/2016 10:09
Run Date: 04/11/2016 - 10:09

No fiscal year history available

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# City of Encinitas - Qtr Inv Report
## Portfolio Management
### Portfolio Details - Investments
### March 31, 2016



| CUSIP | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM | S&P | Moody's | Maturity Date |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Local Agency Investment Funds** | | | | | | | | | | | | |
| SYS1012 | 1012 | CA LAIF | | | 23,063,811.96 | 23,063,811.96 | 23,063,811.96 | 0.510 | 0.510 | NR | | |
| | | **Subtotal and Average** | **15,463,518.64** | | **23,063,811.96** | **23,063,811.96** | **23,063,811.96** | | **0.510** | | | |
| **Managed Pool Accounts** | | | | | | | | | | | | |
| SYS1003 | 1003 | CA Asset Management Program | | | 1,002,239.92 | 1,002,239.92 | 1,002,239.92 | 0.500 | 0.500 | AAA | | |
| | | **Subtotal and Average** | **1,001,354.09** | | **1,002,239.92** | **1,002,239.92** | **1,002,239.92** | | **0.500** | | | |
| **Money Market Funds** | | | | | | | | | | | | |
| SYS1004 | 1004 | Union Bank Custody Acct. | | | 28,938.66 | 28,938.66 | 28,938.66 | 0.180 | 0.180 | AAA | | |
| | | **Subtotal and Average** | **317,687.78** | | **28,938.66** | **28,938.66** | **28,938.66** | | **0.180** | | | |
| **Certificates of Deposit - Bank** | | | | | | | | | | | | |
| 02005QV34 | 1449 | Ally Bank | | 04/10/2013 | 248,000.00 | 248,009.92 | 248,000.00 | 0.650 | 0.650 | NR | NR | 04/11/2016 |
| 33767AGC9 | 1485 | First Bank Puerto Rico | | 12/05/2014 | 248,000.00 | 248,141.36 | 248,000.00 | 0.800 | 0.800 | NR | NR | 06/06/2016 |
| 140420QG8 | 1479 | Capital One Bank USA, NA | | 10/22/2014 | 248,000.00 | 248,431.52 | 248,000.00 | 1.000 | 1.000 | NR | NR | 10/24/2016 |
| 795450PZ2 | 1437 | Sallie Mae Bank/Murray | | 10/24/2012 | 248,000.00 | 249,113.52 | 248,000.00 | 1.350 | 1.350 | NR | NR | 10/24/2016 |
| 36160XY43 | 1439 | GE Capital Bank | | 10/26/2012 | 248,000.00 | 249,039.12 | 248,000.00 | 1.350 | 1.350 | NR | NR | 10/26/2016 |
| 20033ADJ2 | 1455 | Comenity Capital Bank | | 11/12/2013 | 248,000.00 | 248,880.40 | 248,000.00 | 1.000 | 1.000 | NR | NR | 11/14/2016 |
| 71270QKU9 | 1486 | Peoples United Bank | | 12/10/2014 | 248,000.00 | 248,438.96 | 248,000.00 | 1.000 | 1.000 | NR | NR | 12/12/2016 |
| 52168UCU4 | 1476 | Leader Bank | | 10/23/2014 | 248,000.00 | 248,520.80 | 248,000.00 | 1.050 | 1.050 | NR | NR | 04/21/2017 |
| 20451PKJ4 | 1470 | Compass Bank | | 06/11/2014 | 248,000.00 | 248,659.68 | 248,000.00 | 1.050 | 1.050 | NR | NR | 05/11/2017 |
| 628779FH8 | 1471 | NBT Bank | | 06/06/2014 | 248,000.00 | 248,773.76 | 248,000.00 | 0.950 | 0.950 | NR | NR | 06/06/2017 |
| 23204HBH0 | 1474 | Customers Bank | | 06/18/2014 | 248,000.00 | 248,624.96 | 248,000.00 | 0.950 | 0.950 | NR | NR | 06/19/2017 |
| 02587DLV8 | 1438 | American Express Centurion | | 10/25/2012 | 248,000.00 | 250,596.56 | 248,000.00 | 1.500 | 1.500 | NR | NR | 10/25/2017 |
| 45083ADZ2 | 1482 | Iberia Bank /LA | | 12/04/2014 | 248,000.00 | 248,637.36 | 248,000.00 | 1.200 | 1.200 | NR | NR | 12/04/2017 |
| 254672CB2 | 1477 | Discovery Bank | | 10/22/2014 | 248,000.00 | 250,298.96 | 248,000.00 | 1.800 | 1.800 | NR | NR | 10/22/2018 |
| 0606247A5 | 1454 | Bank of Baroda | | 11/12/2013 | 248,000.00 | 252,196.16 | 248,000.00 | 2.050 | 2.050 | NR | NR | 11/13/2018 |
| 8562843F9 | 1484 | State Bank of India | | 12/05/2014 | 248,000.00 | 251,853.92 | 248,000.00 | 2.000 | 2.000 | NR | NR | 12/05/2018 |
| 87164YEB6 | 1478 | Synchrony Bank | | 10/17/2014 | 248,000.00 | 252,476.40 | 248,000.00 | 2.000 | 2.000 | NR | NR | 10/17/2019 |
| 17284C5K6 | 1487 | CIT Bank | | 12/10/2014 | 248,000.00 | 252,332.56 | 248,000.00 | 2.200 | 2.200 | NR | NR | 12/10/2019 |
| | | **Subtotal and Average** | **4,952,785.45** | | **4,464,000.00** | **4,493,025.92** | **4,464,000.00** | | **1.328** | | | |
| **U.S. Treasury Coupon Securities** | | | | | | | | | | | | |
| 912828QF0 | 1461 | U.S. Treasury Notes | | 01/17/2014 | 2,000,000.00 | 2,002,820.00 | 2,002,387.87 | 2.000 | 0.487 | AA+ | Aaa | 04/30/2016 |
| 912828VG2 | 1464 | U.S. Treasury Notes | | 01/17/2014 | 2,000,000.00 | 2,000,900.00 | 1,999,846.86 | 0.500 | 0.538 | AA+ | Aaa | 06/15/2016 |
| 912828QX1 | 1459 | U.S. Treasury Notes | | 12/23/2013 | 2,000,000.00 | 2,007,500.00 | 2,006,371.67 | 1.500 | 0.531 | AA+ | Aaa | 07/31/2016 |

# City of Encinitas - Qtr Inv Report
## Portfolio Management
### Portfolio Details - Investments
### March 31, 2016



| CUSIP | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM | S&P | Moody's | Maturity Date |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **U.S. Treasury Coupon Securities** | | | | | | | | | | | | |
| 912828RF9 | 1489 | U.S. Treasury Notes | | 12/11/2014 | 2,000,000.00 | 2,004,920.00 | 2,004,568.76 | 1.000 | 0.447 | AA+ | Aaa | 08/31/2016 |
| 912828F47 | 1488 | U.S. Treasury Notes | | 12/11/2014 | 2,000,000.00 | 2,000,780.00 | 1,999,978.43 | 0.500 | 0.502 | AA+ | Aaa | 09/30/2016 |
| 912828WA4 | 1481 | U.S. Treasury Notes | | 11/04/2014 | 2,000,000.00 | 2,001,960.00 | 2,001,255.49 | 0.625 | 0.508 | AA+ | Aaa | 10/15/2016 |
| 912828TG5 | 1511 | U.S. Treasury Notes | | 01/27/2016 | 2,000,000.00 | 1,995,080.00 | 1,992,282.21 | 0.500 | 0.792 | | Aaa | 07/31/2017 |
| 912828UE8 | 1490 | U.S. Treasury Notes | | 12/17/2014 | 2,000,000.00 | 2,000,700.00 | 1,990,645.27 | 0.750 | 1.022 | AA+ | Aaa | 12/31/2017 |
| 912828VK3 | 1491 | U.S. Treasury Notes | | 02/20/2015 | 2,000,000.00 | 2,026,560.00 | 2,009,875.87 | 1.375 | 1.150 | AA+ | Aaa | 06/30/2018 |
| 912828XK1 | 1509 | U.S. Treasury Notes | | 01/27/2016 | 2,000,000.00 | 2,004,256.92 | 1,996,228.29 | 0.875 | 0.971 | | Aaa | 07/15/2018 |
| 912828TH3 | 1510 | U.S. Treasury Notes | | 01/27/2016 | 2,000,000.00 | 1,995,240.00 | 1,979,160.82 | 0.875 | 1.195 | | Aaa | 07/31/2019 |
| | | **Subtotal and Average** | **19,225,463.91** | | **22,000,000.00** | **22,040,716.92** | **21,982,601.54** | | **0.740** | | | |
| **Federal Agency Coupon Securities** | | | | | | | | | | | | |
| 3137EADQ9 | 1446 | Federal Home Loan Mortgage | | 03/28/2013 | 2,000,000.00 | 2,000,340.00 | 2,000,182.93 | 0.500 | 0.421 | AAA | Aaa | 05/13/2016 |
| 3135G0ES8 | 1453 | Federal Nat'l Mortgage Assoc. | | 06/26/2013 | 2,000,000.00 | 2,010,560.00 | 2,004,557.18 | 1.375 | 1.002 | AAA | Aaa | 11/15/2016 |
| 3137EADT3 | 1467 | Federal Home Loan Mortgage | | 03/10/2014 | 2,000,000.00 | 2,003,900.00 | 2,001,880.06 | 0.875 | 0.768 | AAA | Aaa | 02/22/2017 |
| 3137EADC0 | 1466 | Federal Home Loan Mortgage | | 03/10/2014 | 2,000,000.00 | 2,006,120.00 | 2,003,913.95 | 1.000 | 0.788 | AAA | Aaa | 03/08/2017 |
| 3135G0JA2 | 1473 | Federal Nat'l Mortgage Assoc. | | 06/09/2014 | 1,000,000.00 | 1,004,690.00 | 1,003,277.65 | 1.125 | 0.815 | AAA | Aaa | 04/27/2017 |
| 3137EADF3 | 1468 | Federal Home Loan Mortgage | | 03/10/2014 | 2,000,000.00 | 2,012,300.00 | 2,008,602.89 | 1.250 | 0.858 | AAA | Aaa | 05/12/2017 |
| 3133EEX62 | 1502 | Federal Farm Credit | | 06/24/2015 | 1,000,000.00 | 1,000,660.00 | 1,000,223.00 | 0.800 | 0.781 | AA+ | Aaa | 06/16/2017 |
| 3133EDSU7 | 1508 | Federal Farm Credit | | 06/26/2015 | 1,000,000.00 | 1,003,870.00 | 1,002,305.55 | 1.000 | 0.830 | AA+ | Aaa | 08/15/2017 |
| 3136G0YE5 | 1494 | Federal Nat'l Mortgage Assoc. | | 05/27/2015 | 1,000,000.00 | 1,003,670.00 | 1,003,063.26 | 1.000 | 0.780 | AA+ | Aaa | 08/28/2017 |
| 3136G0ZH7 | 1480 | Federal Nat'l Mortgage Assoc. | | 11/04/2014 | 2,000,000.00 | 2,003,160.00 | 2,000,402.74 | 1.050 | 1.036 | AAA | Aaa | 09/05/2017 |
| 3130A4TR3 | 1495 | Federal Home Loan Bank | | 05/27/2015 | 2,000,000.00 | 2,003,740.00 | 2,001,673.40 | 0.875 | 0.820 | AA+ | Aaa | 10/16/2017 |
| 3135G0TG8 | 1504 | Federal Nat'l Mortgage Assoc. | | 06/26/2015 | 2,000,000.00 | 2,004,600.00 | 1,995,799.74 | 0.875 | 0.990 | AA+ | Aaa | 02/08/2018 |
| 3137EADP1 | 1492 | Federal Home Loan Mortgage | | 03/20/2015 | 2,000,000.00 | 2,002,980.00 | 1,994,481.57 | 0.875 | 1.020 | AA+ | Aaa | 03/07/2018 |
| 3133EEM98 | 1496 | Federal Farm Credit | | 05/27/2015 | 2,000,000.00 | 2,003,280.00 | 1,998,738.18 | 1.000 | 1.030 | AA+ | Aaa | 05/21/2018 |
| 3135G0E33 | 1501 | Federal Nat'l Mortgage Assoc. | | 06/24/2015 | 2,000,000.00 | 2,014,140.00 | 1,998,422.95 | 1.125 | 1.160 | AA+ | Aaa | 07/20/2018 |
| 3130A5E54 | 1497 | Federal Home Loan Bank | | 05/27/2015 | 2,000,000.00 | 2,013,160.00 | 2,000,000.00 | 1.100 | 1.100 | AA+ | Aaa | 08/13/2018 |
| 3135G0YM9 | 1503 | Federal Nat'l Mortgage Assoc. | | 06/26/2015 | 2,000,000.00 | 2,048,720.00 | 2,031,054.16 | 1.875 | 1.230 | AA+ | Aaa | 09/18/2018 |
| 3130A53Z0 | 1493 | Federal Home Loan Bank | | 04/24/2015 | 2,000,000.00 | 2,007,800.00 | 1,998,996.71 | 1.050 | 1.070 | AA+ | Aaa | 10/23/2018 |
| 3135G0YT4 | 1505 | Federal Nat'l Mortgage Assoc. | | 06/26/2015 | 2,000,000.00 | 2,039,660.00 | 2,018,388.44 | 1.625 | 1.270 | AA+ | Aaa | 11/27/2018 |
| 3135G0ZA4 | 1500 | Federal Nat'l Mortgage Assoc. | | 06/24/2015 | 2,000,000.00 | 2,054,160.00 | 2,027,913.12 | 1.875 | 1.377 | AA+ | Aaa | 02/19/2019 |
| 3133782M2 | 1498 | Federal Home Loan Bank | | 05/27/2015 | 2,000,000.00 | 2,033,120.00 | 2,010,267.11 | 1.500 | 1.320 | AA+ | Aaa | 03/08/2019 |
| 313379EE5 | 1499 | Federal Home Loan Bank | | 05/27/2015 | 2,000,000.00 | 2,039,760.00 | 2,013,959.45 | 1.625 | 1.400 | AA+ | Aaa | 06/14/2019 |
| 3135G0ZG1 | 1506 | Federal Nat'l Mortgage Assoc. | | 06/26/2015 | 2,000,000.00 | 2,049,620.00 | 2,010,281.64 | 1.750 | 1.595 | AA+ | Aaa | 09/12/2019 |
| 3135G0ZY2 | 1507 | Federal Nat'l Mortgage Assoc. | | 06/26/2015 | 2,000,000.00 | 2,046,460.00 | 2,007,360.69 | 1.750 | 1.645 | AA+ | Aaa | 11/26/2019 |

# City of Encinitas - Qtr Inv Report
## Portfolio Management
## Portfolio Details - Investments
## March 31, 2016



| CUSIP | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM | S&P | Moody's | Maturity Date |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Subtotal and Average | 49,578,681.27 | | 44,000,000.00 | 44,410,470.00 | 44,135,746.37 | | 1.069 | | | |
| **Federal Agency Callable Securities** | | | | | | | | | | | | |
| 313383FB2 | 1451 | Federal Home Loan Bank | | 06/19/2013 | 2,900,000.00 | 2,902,407.00 | 2,900,000.00 | 0.580 | 0.580 | AAA | Aaa | 12/19/2016 |
| 3134G56W0 | 1472 | Federal Home Loan Mortgage | | 06/23/2014 | 1,000,000.00 | 997,470.00 | 1,000,000.00 | 0.650 | 0.650 | AAA | Aaa | 12/23/2016 |
| | | Subtotal and Average | 3,900,000.00 | | 3,900,000.00 | 3,899,877.00 | 3,900,000.00 | | 0.598 | | | |
| **Corporate Medium Term Notes** | | | | | | | | | | | | |
| | | Subtotal and Average | 149,064.46 | | | | | | | | | |
| | | Total and Average | 94,654,610.12 | | 98,458,990.54 | 98,939,080.38 | 98,577,338.45 | | 0.852 | | | |

# City of Encinitas - Qtr Inv Report
## Portfolio Management
### Portfolio Details - Cash
### March 31, 2016



| CUSIP | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM | S&P Moody's |
|---|---|---|---|---|---|---|---|---|---|---|
| **Passbook/Checking Accounts** | | | | | | | | | | |
| SYS1010 | 1010 | Union Bank Checking Acct. | | | 1,260,449.69 | 1,260,449.69 | 1,260,449.69 | | 0.000 | |
| | | **Average Balance** | **0.00** | | | | | | | |
| | | **Total Cash and Investments** | **94,654,610.11** | | **99,719,440.23** | **100,199,530.07** | **99,837,788.14** | | **0.852** | |

# City of Encinitas - Qtr Inv Report
## Portfolio Management
### Activity By Type
### July 1, 2015 through March 31, 2016



| CUSIP | Investment # | Issuer | Stated Rate | Transaction Date | Purchases or Deposits | Redemptions or Withdrawals | Balance |
|---|---|---|---|---|---|---|---|
| **Passbook/Checking Accounts (Monthly Summary)** | | | | | | | |
| SYS1010 | 1010 | Union Bank Checking Acct. | | | 18,164,989.46 | 18,667,423.38 | |
| | | **Subtotal** | | | **18,164,989.46** | **18,667,423.38** | **0.00** |
| **Local Agency Investment Funds (Monthly Summary)** | | | | | | | |
| SYS1012 | 1012 | CA LAIF | 0.510 | | 32,342,452.40 | 27,850,000.00 | |
| | | **Subtotal** | | | **32,342,452.40** | **27,850,000.00** | **23,063,811.96** |
| **Managed Pool Accounts (Monthly Summary)** | | | | | | | |
| SYS1003 | 1003 | CA Asset Management Program | 0.500 | | 1,470.85 | 0.00 | |
| | | **Subtotal** | | | **1,470.85** | **0.00** | **1,002,239.92** |
| **Money Market Funds (Monthly Summary)** | | | | | | | |
| SYS1004 | 1004 | Union Bank Custody Acct. | 0.180 | | 22,758,604.18 | 22,751,319.80 | |
| | | **Subtotal** | | | **22,758,604.18** | **22,751,319.80** | **28,938.66** |
| **Certificates of Deposit - Bank** | | | | | | | |
| 03784JDM3 | 1483 | Apple Bank for Savings | 0.400 | 12/31/2015 | 0.00 | 248,000.00 | |
| 05568P3A3 | 1450 | BMW Bank North America | 0.650 | 10/13/2015 | 0.00 | 248,000.00 | |
| 38143AP25 | 1435 | Goldman Sachs Bank, USA | 1.050 | 10/26/2015 | 0.00 | 248,000.00 | |
| 92937CBM9 | 1456 | Wex Bank | 0.650 | 11/16/2015 | 0.00 | 248,000.00 | |
| | | **Subtotal** | | | **0.00** | **992,000.00** | **4,464,000.00** |
| **U.S. Treasury Coupon Securities** | | | | | | | |
| 912828QJ2 | 1462 | U.S. Treasury Notes | 2.125 | 02/29/2016 | 0.00 | 2,000,000.00 | |
| 912828XK1 | 1509 | U.S. Treasury Notes | 0.875 | 01/27/2016 | 1,995,312.85 | 0.00 | |
| 912828TH3 | 1510 | U.S. Treasury Notes | 0.875 | 01/27/2016 | 1,978,046.88 | 0.00 | |
| 912828TG5 | 1511 | U.S. Treasury Notes | 0.500 | 01/27/2016 | 1,991,250.00 | 0.00 | |
| | | **Subtotal** | | | **5,964,609.73** | **2,000,000.00** | **21,982,601.54** |
| **Federal Agency Coupon Securities** | | | | | | | |
| 3133ECGT5 | 1447 | Federal Farm Credit | 0.410 | 03/01/2016 | 0.00 | 2,000,000.00 | |
| 313371NW2 | 1429 | Federal Home Loan Bank | 1.375 | 12/11/2015 | 0.00 | 2,000,000.00 | |
| 313370JB5 | 1442 | Federal Home Loan Bank | 1.750 | 09/11/2015 | 0.00 | 2,000,000.00 | |
| 3134A4ZT4 | 1440 | Federal Home Loan Mortgage | 4.750 | 01/19/2016 | 0.00 | 2,000,000.00 | |
| 3134G3ZA1 | 1448 | Federal Home Loan Mortgage | 0.500 | 08/28/2015 | 0.00 | 2,000,000.00 | |

# City of Encinitas - Qtr Inv Report
## Portfolio Management
### Activity By Type
### July 1, 2015 through March 31, 2016



| CUSIP | Investment # | Issuer | Stated Rate | Transaction Date | Purchases or Deposits | Redemptions or Withdrawals | Balance |
|---|---|---|---|---|---|---|---|
| **Federal Agency Coupon Securities** | | | | | | | |
| 3135G0LN1 | 1444 | Federal Nat'l Mortgage Assoc. | 0.500 | 07/02/2015 | 0.00 | 2,000,000.00 | |
| | | **Subtotal** | | | **0.00** | **12,000,000.00** | **44,135,746.37** |
| **Federal Agency Callable Securities** | | | | | | | |
| | | **Subtotal** | | | | | **3,900,000.00** |
| **Corporate Medium Term Notes** | | | | | | | |
| 36962G4N1 | 1421 | General Electric Capital Corp | 1.000 | 08/11/2015 | 0.00 | 1,000,000.00 | |
| | | **Subtotal** | | | **0.00** | **1,000,000.00** | **0.00** |
| | | **Total** | | | **79,232,126.62** | **85,260,743.18** | **98,577,338.45** |

# City of Encinitas - Qtr Inv Report
## Portfolio Management
### Interest Earnings Summary
### March 31, 2016



| | March 31 Month Ending | Fiscal Year To Date |
|---|---|---|
| **CD/Coupon/Discount Investments:** | | |
| Interest Collected | 766,336.35 | 766,336.35 |
| Plus Accrued Interest at End of Period | 194,126.94 | 194,126.94 |
| Less Accrued Interest at Beginning of Period | ( 238,468.28) | ( 238,468.28) |
| Less Accrued Interest at Purchase During Period | ( 14,028.00) | ( 14,028.00) |
| Interest Earned during Period | 707,967.01 | 707,967.01 |
| Adjusted by Premiums and Discounts | -175,165.80 | -175,165.80 |
| Adjusted by Capital Gains or Losses | 0.00 | 0.00 |
| Earnings during Periods | 532,801.21 | 532,801.21 |
| **Pass Through Securities:** | | |
| Interest Collected | 0.00 | 0.00 |
| Plus Accrued Interest at End of Period | 0.00 | 0.00 |
| Less Accrued Interest at Beginning of Period | ( 0.00) | ( 0.00) |
| Less Accrued Interest at Purchase During Period | ( 0.00) | ( 0.00) |
| Interest Earned during Period | 0.00 | 0.00 |
| Adjusted by Premiums and Discounts | 0.00 | 0.00 |
| Adjusted by Capital Gains or Losses | 0.00 | 0.00 |
| Earnings during Periods | 0.00 | 0.00 |
| **Cash/Checking Accounts:** | | |
| Interest Collected | 44,041.01 | 44,041.01 |
| Plus Accrued Interest at End of Period | 26,496.54 | 26,496.54 |
| Less Accrued Interest at Beginning of Period | ( 22,090.13) | ( 22,090.13) |
| Interest Earned during Period | 48,447.42 | 48,447.42 |
| **Total Interest Earned during Period** | **756,414.43** | **756,414.43** |
| **Total Adjustments from Premiums and Discounts** | **-175,165.80** | **-175,165.80** |
| **Total Capital Gains or Losses** | **0.00** | **0.00** |
| **Total Earnings during Period** | **581,248.63** | **581,248.63** |

# City of Encinitas - Qtr Inv Report
## Investments by Issuer
### Active Investments
### Sorted by Fund
### March 31, 2016

CITY OF ENCINITAS
505 S. VULCAN
ENCINITAS, ENCINITAS, CA 9
(760)633-2641

| CUSIP | Investment # | Security Type | Investment Class | Book Value | Current Rate | Market Value | Market Date | YTM 365 | Redemption Date | Days To Maturity | Call Date | Collateral |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Ally Bank** | | | | | | | | | | | | |
| 02005QV34 | 1449 | Certificates of Deposit - Bank | Amort | 248,000.00 | 0.650 | 248,009.92 | 03/31/2016 | 0.650 | 04/11/2016 | 10 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,009.92** | | **0.650** | | **10** | | |
| **American Express Centurion** | | | | | | | | | | | | |
| 02587DLV8 | 1438 | Certificates of Deposit - Bank | Fair | 248,000.00 | 1.500 | 250,596.56 | 03/31/2016 | 1.500 | 10/25/2017 | 572 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **250,596.56** | | **1.500** | | **572** | | |
| **Bank of Baroda** | | | | | | | | | | | | |
| 0606247A5 | 1454 | Certificates of Deposit - Bank | Amort | 248,000.00 | 2.050 | 252,196.16 | 03/31/2016 | 2.050 | 11/13/2018 | 956 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **252,196.16** | | **2.050** | | **956** | | |
| **CA Asset Management Program** | | | | | | | | | | | | |
| SYS1003 | 1003 | Managed Pool Accounts | Amort | 1,002,239.92 | 0.500 | 1,002,239.92 | 03/31/2016 | 0.500 | | 1 | | |
| | | **Subtotal and Average** | | **1,002,239.92** | | **1,002,239.92** | | **0.500** | | **1** | | |
| **Capital One Bank USA, NA** | | | | | | | | | | | | |
| 140420QG8 | 1479 | Certificates of Deposit - Bank | Amort | 248,000.00 | 1.000 | 248,431.52 | 03/31/2016 | 1.000 | 10/24/2016 | 206 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,431.52** | | **1.000** | | **206** | | |
| **CIT Bank** | | | | | | | | | | | | |
| 17284C5K6 | 1487 | Certificates of Deposit - Bank | Amort | 248,000.00 | 2.200 | 252,332.56 | 03/31/2016 | 2.200 | 12/10/2019 | 1,348 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **252,332.56** | | **2.200** | | **1,348** | | |
| **Comenity Capital Bank** | | | | | | | | | | | | |
| 20033ADJ2 | 1455 | Certificates of Deposit - Bank | Amort | 248,000.00 | 1.000 | 248,880.40 | 03/31/2016 | 1.000 | 11/14/2016 | 227 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,880.40** | | **1.000** | | **227** | | |
| **Compass Bank** | | | | | | | | | | | | |
| 20451PKJ4 | 1470 | Certificates of Deposit - Bank | Amort | 248,000.00 | 1.050 | 248,659.68 | 03/31/2016 | 1.050 | 05/11/2017 | 405 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,659.68** | | **1.050** | | **405** | | |

| CUSIP | Investment # | Security Type | Investment Class | Book Value | Current Rate | Market Value | Market Date | YTM 365 | Redemption Date | Days To Maturity | Call Date | Collateral |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Customers Bank** | | | | | | | | | | | | |
| 23204HBH0 | 1474 | Certificates of Deposit - Bank | Amort | 248,000.00 | 0.950 | 248,624.96 | 03/31/2016 | 0.950 | 06/19/2017 | 444 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,624.96** | | **0.950** | | **444** | | |
| **Discovery Bank** | | | | | | | | | | | | |
| 254672CB2 | 1477 | Certificates of Deposit - Bank | Amort | 248,000.00 | 1.800 | 250,298.96 | 03/31/2016 | 1.800 | 10/22/2018 | 934 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **250,298.96** | | **1.800** | | **934** | | |
| **First Bank Puerto Rico** | | | | | | | | | | | | |
| 33767AGC9 | 1485 | Certificates of Deposit - Bank | Amort | 248,000.00 | 0.800 | 248,141.36 | 03/31/2016 | 0.800 | 06/06/2016 | 66 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,141.36** | | **0.800** | | **66** | | |
| **Federal Farm Credit** | | | | | | | | | | | | |
| 3133EEM98 | 1496 | Federal Agency Coupon Securities | Fair | 1,998,738.18 | 1.000 | 2,003,280.00 | 03/31/2016 | 1.030 | 05/21/2018 | 780 | | |
| 3133EEX62 | 1502 | Federal Agency Coupon Securities | Fair | 1,000,223.00 | 0.800 | 1,000,660.00 | 03/31/2016 | 0.781 | 06/16/2017 | 441 | | |
| 3133EDSU7 | 1508 | Federal Agency Coupon Securities | Fair | 1,002,305.55 | 1.000 | 1,003,870.00 | 03/31/2016 | 0.830 | 08/15/2017 | 501 | | |
| | | **Subtotal and Average** | | **4,001,266.73** | | **4,007,810.00** | | **0.918** | | **625** | | |
| **Federal Home Loan Bank** | | | | | | | | | | | | |
| 3130A53Z0 | 1493 | Federal Agency Coupon Securities | Fair | 1,998,996.71 | 1.050 | 2,007,800.00 | 03/31/2016 | 1.070 | 10/23/2018 | 935 | | |
| 3130A4TR3 | 1495 | Federal Agency Coupon Securities | Fair | 2,001,673.40 | 0.875 | 2,003,740.00 | 03/31/2016 | 0.820 | 10/16/2017 | 563 | | |
| 3130A5E54 | 1497 | Federal Agency Coupon Securities | Fair | 2,000,000.00 | 1.100 | 2,013,160.00 | 03/31/2016 | 1.100 | 08/13/2018 | 864 | | |
| 3133782M2 | 1498 | Federal Agency Coupon Securities | Fair | 2,010,267.11 | 1.500 | 2,033,120.00 | 03/31/2016 | 1.320 | 03/08/2019 | 1,071 | | |
| 313379EE5 | 1499 | Federal Agency Coupon Securities | Fair | 2,013,959.45 | 1.625 | 2,039,760.00 | 03/31/2016 | 1.400 | 06/14/2019 | 1,169 | | |
| 313383FB2 | 1451 | Federal Agency Callable Securities | Fair | 2,900,000.00 | 0.580 | 2,902,407.00 | 03/31/2016 | 0.580 | 12/19/2016 | 262 | | |
| | | **Subtotal and Average** | | **12,924,896.67** | | **12,999,987.00** | | **1.016** | | **773** | | |
| **Federal Home Loan Mortgage** | | | | | | | | | | | | |
| 3137EADQ9 | 1446 | Federal Agency Coupon Securities | Fair | 2,000,182.93 | 0.500 | 2,000,340.00 | 03/31/2016 | 0.421 | 05/13/2016 | 42 | | |
| 3137EADC0 | 1466 | Federal Agency Coupon Securities | Fair | 2,003,913.95 | 1.000 | 2,006,120.00 | 03/31/2016 | 0.788 | 03/08/2017 | 341 | | |
| 3137EADT3 | 1467 | Federal Agency Coupon Securities | Fair | 2,001,880.06 | 0.875 | 2,003,900.00 | 03/31/2016 | 0.768 | 02/22/2017 | 327 | | |
| 3137EADF3 | 1468 | Federal Agency Coupon Securities | Fair | 2,008,602.89 | 1.250 | 2,012,300.00 | 03/31/2016 | 0.858 | 05/12/2017 | 406 | | |
| 3137EADP1 | 1492 | Federal Agency Coupon Securities | Fair | 1,994,481.57 | 0.875 | 2,002,980.00 | 03/31/2016 | 1.020 | 03/07/2018 | 705 | | |
| 3134G56W0 | 1472 | Federal Agency Callable Securities | Fair | 1,000,000.00 | 0.650 | 997,470.00 | 03/31/2016 | 0.650 | 12/23/2016 | 266 | 06/23/2016 | |
| | | **Subtotal and Average** | | **11,009,061.40** | | **11,023,110.00** | | **0.760** | | **355** | | |

| CUSIP | Investment # | Security Type | Investment Class | Book Value | Current Rate | Market Value | Market Date | YTM 365 | Redemption Date | Days To Maturity | Call Date | Collateral |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Federal Nat'l Mortgage Assoc.** | | | | | | | | | | | | |
| 3135G0ES8 | 1453 | Federal Agency Coupon Securities | Fair | 2,004,557.18 | 1.375 | 2,010,560.00 | 03/31/2016 | 1.002 | 11/15/2016 | 228 | | |
| 3135G0JA2 | 1473 | Federal Agency Coupon Securities | Fair | 1,003,277.65 | 1.125 | 1,004,690.00 | 03/31/2016 | 0.815 | 04/27/2017 | 391 | | |
| 3136G0ZH7 | 1480 | Federal Agency Coupon Securities | Fair | 2,000,402.74 | 1.050 | 2,003,160.00 | 03/31/2016 | 1.036 | 09/05/2017 | 522 | | |
| 3136G0YE5 | 1494 | Federal Agency Coupon Securities | Fair | 1,003,063.26 | 1.000 | 1,003,670.00 | 03/31/2016 | 0.780 | 08/28/2017 | 514 | | |
| 3135G0ZA4 | 1500 | Federal Agency Coupon Securities | Fair | 2,027,913.12 | 1.875 | 2,054,160.00 | 03/31/2016 | 1.377 | 02/19/2019 | 1,054 | | |
| 3135G0E33 | 1501 | Federal Agency Coupon Securities | Fair | 1,998,422.95 | 1.125 | 2,014,140.00 | 03/31/2016 | 1.160 | 07/20/2018 | 840 | | |
| 3135G0YM9 | 1503 | Federal Agency Coupon Securities | Fair | 2,031,054.16 | 1.875 | 2,048,720.00 | 03/31/2016 | 1.230 | 09/18/2018 | 900 | | |
| 3135G0TG8 | 1504 | Federal Agency Coupon Securities | Fair | 1,995,799.74 | 0.875 | 2,004,600.00 | 03/31/2016 | 0.990 | 02/08/2018 | 678 | | |
| 3135G0YT4 | 1505 | Federal Agency Coupon Securities | Fair | 2,018,388.44 | 1.625 | 2,039,660.00 | 03/31/2016 | 1.270 | 11/27/2018 | 970 | | |
| 3135G0ZG1 | 1506 | Federal Agency Coupon Securities | Fair | 2,010,281.64 | 1.750 | 2,049,620.00 | 03/31/2016 | 1.595 | 09/12/2019 | 1,259 | | |
| 3135G0ZY2 | 1507 | Federal Agency Coupon Securities | Fair | 2,007,360.69 | 1.750 | 2,046,460.00 | 03/31/2016 | 1.645 | 11/26/2019 | 1,334 | | |
| | | **Subtotal and Average** | | **20,100,521.57** | | **20,279,440.00** | | **1.211** | | **824** | | |
| **GE Capital Bank** | | | | | | | | | | | | |
| 36160XY43 | 1439 | Certificates of Deposit - Bank | Fair | 248,000.00 | 1.350 | 249,039.12 | 03/31/2016 | 1.350 | 10/26/2016 | 208 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **249,039.12** | | **1.350** | | **208** | | |
| **Iberia Bank /LA** | | | | | | | | | | | | |
| 45083ADZ2 | 1482 | Certificates of Deposit - Bank | Amort | 248,000.00 | 1.200 | 248,637.36 | 03/31/2016 | 1.200 | 12/04/2017 | 612 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,637.36** | | **1.200** | | **612** | | |
| **State Bank of India** | | | | | | | | | | | | |
| 8562843F9 | 1484 | Certificates of Deposit - Bank | Amort | 248,000.00 | 2.000 | 251,853.92 | 03/31/2016 | 2.000 | 12/05/2018 | 978 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **251,853.92** | | **2.000** | | **978** | | |
| **CA LAIF** | | | | | | | | | | | | |
| SYS1012 | 1012 | Local Agency Investment Funds | Amort | 23,063,811.96 | 0.510 | 23,063,811.96 | 03/31/2016 | 0.510 | | 1 | | |
| | | **Subtotal and Average** | | **23,063,811.96** | | **23,063,811.96** | | **0.510** | | **1** | | |
| **Leader Bank** | | | | | | | | | | | | |
| 52168UCU4 | 1476 | Certificates of Deposit - Bank | Amort | 248,000.00 | 1.050 | 248,520.80 | 03/31/2016 | 1.050 | 04/21/2017 | 385 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,520.80** | | **1.050** | | **385** | | |
| **NBT Bank** | | | | | | | | | | | | |
| 628779FH8 | 1471 | Certificates of Deposit - Bank | Amort | 248,000.00 | 0.950 | 248,773.76 | 03/31/2016 | 0.950 | 06/06/2017 | 431 | | |

| CUSIP | Investment # | Security Type | Investment Class | Book Value | Current Rate | Market Value | Market Date | YTM 365 | Redemption Date | Days To Maturity | Call Date | Collateral |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | **Subtotal and Average** | | **248,000.00** | | **248,773.76** | | **0.950** | | **431** | | |
| **Peoples United Bank** | | | | | | | | | | | | |
| 71270QKU9 | 1486 | Certificates of Deposit - Bank | Amort | 248,000.00 | 1.000 | 248,438.96 | 03/31/2016 | 1.000 | 12/12/2016 | 255 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **248,438.96** | | **1.000** | | **255** | | |
| **Sallie Mae Bank/Murray** | | | | | | | | | | | | |
| 795450PZ2 | 1437 | Certificates of Deposit - Bank | Fair | 248,000.00 | 1.350 | 249,113.52 | 03/31/2016 | 1.350 | 10/24/2016 | 206 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **249,113.52** | | **1.350** | | **206** | | |
| **Synchrony Bank** | | | | | | | | | | | | |
| 87164YEB6 | 1478 | Certificates of Deposit - Bank | Amort | 248,000.00 | 2.000 | 252,476.40 | 03/31/2016 | 2.000 | 10/17/2019 | 1,294 | | |
| | | **Subtotal and Average** | | **248,000.00** | | **252,476.40** | | **2.000** | | **1,294** | | |
| **Union Bank Checking Acct.** | | | | | | | | | | | | |
| SYS1010 | 1010 | Passbook/Checking Accounts | Amort | 1,260,449.69 | | 1,260,449.69 | 03/31/2016 | | | 1 | | |
| | | **Subtotal and Average** | | **1,260,449.69** | | **1,260,449.69** | | | | **1** | | |
| **Union Bank Custody Acct.** | | | | | | | | | | | | |
| SYS1004 | 1004 | Money Market Funds | Amort | 28,938.66 | 0.180 | 28,938.66 | 03/31/2016 | 0.180 | | 1 | | |
| | | **Subtotal and Average** | | **28,938.66** | | **28,938.66** | | **0.180** | | **1** | | |
| **U.S. Treasury Notes** | | | | | | | | | | | | |
| 912828QX1 | 1459 | U.S. Treasury Coupon Securities | Fair | 2,006,371.67 | 1.500 | 2,007,500.00 | 03/31/2016 | 0.531 | 07/31/2016 | 121 | | |
| 912828QF0 | 1461 | U.S. Treasury Coupon Securities | Fair | 2,002,387.87 | 2.000 | 2,002,820.00 | 03/31/2016 | 0.487 | 04/30/2016 | 29 | | |
| 912828VG2 | 1464 | U.S. Treasury Coupon Securities | Fair | 1,999,846.86 | 0.500 | 2,000,900.00 | 03/31/2016 | 0.538 | 06/15/2016 | 75 | | |
| 912828WA4 | 1481 | U.S. Treasury Coupon Securities | Fair | 2,001,255.49 | 0.625 | 2,001,960.00 | 03/31/2016 | 0.508 | 10/15/2016 | 197 | | |
| 912828F47 | 1488 | U.S. Treasury Coupon Securities | Fair | 1,999,978.43 | 0.500 | 2,000,780.00 | 03/31/2016 | 0.502 | 09/30/2016 | 182 | | |
| 912828RF9 | 1489 | U.S. Treasury Coupon Securities | Fair | 2,004,568.76 | 1.000 | 2,004,920.00 | 03/31/2016 | 0.447 | 08/31/2016 | 152 | | |
| 912828UE8 | 1490 | U.S. Treasury Coupon Securities | Fair | 1,990,645.27 | 0.750 | 2,000,700.00 | 03/31/2016 | 1.022 | 12/31/2017 | 639 | | |
| 912828VK3 | 1491 | U.S. Treasury Coupon Securities | Fair | 2,009,875.87 | 1.375 | 2,026,560.00 | 03/31/2016 | 1.150 | 06/30/2018 | 820 | | |
| 912828XK1 | 1509 | U.S. Treasury Coupon Securities | Fair | 1,996,228.29 | 0.875 | 2,004,256.92 | 03/31/2016 | 0.971 | 07/15/2018 | 835 | | |
| 912828TH3 | 1510 | U.S. Treasury Coupon Securities | Fair | 1,979,160.82 | 0.875 | 1,995,240.00 | 03/31/2016 | 1.195 | 07/31/2019 | 1,216 | | |
| 912828TG5 | 1511 | U.S. Treasury Coupon Securities | Fair | 1,992,282.21 | 0.500 | 1,995,080.00 | 03/31/2016 | 0.792 | 07/31/2017 | 486 | | |
| | | **Subtotal and Average** | | **21,982,601.54** | | **22,040,716.92** | | **0.740** | | **431** | | |
| | | **Total and Average** | | **99,837,788.14** | | **100,199,530.07** | | **0.841** | | **449** | | |

# City of Encinitas - Qtr Inv Report
## Investments by Type
### Active Investments
### March 31, 2016

CITY OF ENCINITAS
505 S. VULCAN
ENCINITAS, ENCINITAS, CA 9
(760)633-2641

| CUSIP | Investment # | Fund | Issuer | Par Value | Purchase Price | Remaining Cost | Current Rate | Maturity Date | Call Date | Call Price |
|---|---|---|---|---|---|---|---|---|---|---|
| **Passbook/Checking Accounts** | | | | | | | | | | |
| SYS1010 | 1010 | 001 | Union Bank Checking Acct. | 1,260,449.69 | 100.0000000 | 1,260,449.69 | | | | |
| | | | **Subtotal** | **1,260,449.69** | | **1,260,449.69** | | | | |
| **Local Agency Investment Funds** | | | | | | | | | | |
| SYS1012 | 1012 | 001 | CA LAIF | 23,063,811.96 | 100.0000000 | 23,063,811.96 | 0.510 | | | |
| | | | **Subtotal** | **23,063,811.96** | | **23,063,811.96** | | | | |
| **Managed Pool Accounts** | | | | | | | | | | |
| SYS1003 | 1003 | 001 | CA Asset Management Program | 1,002,239.92 | 100.0000000 | 1,002,239.92 | 0.500 | | | |
| | | | **Subtotal** | **1,002,239.92** | | **1,002,239.92** | | | | |
| **Money Market Funds** | | | | | | | | | | |
| SYS1004 | 1004 | 001 | Union Bank Custody Acct. | 28,938.66 | 100.0000000 | 28,938.66 | 0.180 | | | |
| | | | **Subtotal** | **28,938.66** | | **28,938.66** | | | | |
| **Certificates of Deposit - Bank** | | | | | | | | | | |
| 02005QV34 | 1449 | 001 | Ally Bank | 248,000.00 | 100.0000000 | 248,000.00 | 0.650 | 04/11/2016 | | |
| 02587DLV8 | 1438 | 001 | American Express Centurion | 248,000.00 | 100.0000000 | 248,000.00 | 1.500 | 10/25/2017 | | |
| 0606247A5 | 1454 | 001 | Bank of Baroda | 248,000.00 | 100.0000000 | 248,000.00 | 2.050 | 11/13/2018 | | |
| 140420QG8 | 1479 | 001 | Capital One Bank USA, NA | 248,000.00 | 100.0000000 | 248,000.00 | 1.000 | 10/24/2016 | | |
| 17284C5K6 | 1487 | 001 | CIT Bank | 248,000.00 | 100.0000000 | 248,000.00 | 2.200 | 12/10/2019 | | |
| 20033ADJ2 | 1455 | 001 | Comenity Capital Bank | 248,000.00 | 100.0000000 | 248,000.00 | 1.000 | 11/14/2016 | | |
| 20451PKJ4 | 1470 | 001 | Compass Bank | 248,000.00 | 100.0000000 | 248,000.00 | 1.050 | 05/11/2017 | | |
| 23204HBH0 | 1474 | 001 | Customers Bank | 248,000.00 | 100.0000000 | 248,000.00 | 0.950 | 06/19/2017 | | |
| 254672CB2 | 1477 | 001 | Discovery Bank | 248,000.00 | 100.0000000 | 248,000.00 | 1.800 | 10/22/2018 | | |
| 33767AGC9 | 1485 | 001 | First Bank Puerto Rico | 248,000.00 | 100.0000000 | 248,000.00 | 0.800 | 06/06/2016 | | |
| 36160XY43 | 1439 | 001 | GE Capital Bank | 248,000.00 | 100.0000000 | 248,000.00 | 1.350 | 10/26/2016 | | |
| 45083ADZ2 | 1482 | 001 | Iberia Bank /LA | 248,000.00 | 100.0000000 | 248,000.00 | 1.200 | 12/04/2017 | | |
| 8562843F9 | 1484 | 001 | State Bank of India | 248,000.00 | 100.0000000 | 248,000.00 | 2.000 | 12/05/2018 | | |
| 52168UCU4 | 1476 | 001 | Leader Bank | 248,000.00 | 100.0000000 | 248,000.00 | 1.050 | 04/21/2017 | | |
| 628779FH8 | 1471 | 001 | NBT Bank | 248,000.00 | 100.0000000 | 248,000.00 | 0.950 | 06/06/2017 | | |

# City of Encinitas - Qtr Inv Report
## Investments by Type
## March 31, 2016

| CUSIP | Investment # | Fund | Issuer | Par Value | Purchase Price | Remaining Cost | Current Rate | Maturity Date | Call Date | Call Price |
|---|---|---|---|---|---|---|---|---|---|---|
| **Certificates of Deposit - Bank** | | | | | | | | | | |
| 71270QKU9 | 1486 | 001 | Peoples United Bank | 248,000.00 | 100.0000000 | 248,000.00 | 1.000 | 12/12/2016 | | |
| 795450PZ2 | 1437 | 001 | Sallie Mae Bank/Murray | 248,000.00 | 100.0000000 | 248,000.00 | 1.350 | 10/24/2016 | | |
| 87164YEB6 | 1478 | 001 | Synchrony Bank | 248,000.00 | 100.0000000 | 248,000.00 | 2.000 | 10/17/2019 | | |
| | | | **Subtotal** | **4,464,000.00** | | **4,464,000.00** | | | | |
| **U.S. Treasury Coupon Securities** | | | | | | | | | | |
| 912828QX1 | 1459 | 001 | U.S. Treasury Notes | 2,000,000.00 | 102.5039065 | 2,050,078.13 | 1.500 | 07/31/2016 | | |
| 912828QF0 | 1461 | 001 | U.S. Treasury Notes | 2,000,000.00 | 103.4335940 | 2,068,671.88 | 2.000 | 04/30/2016 | | |
| 912828VG2 | 1464 | 001 | U.S. Treasury Notes | 2,000,000.00 | 99.9101565 | 1,998,203.13 | 0.500 | 06/15/2016 | | |
| 912828WA4 | 1481 | 001 | U.S. Treasury Notes | 2,000,000.00 | 100.2265625 | 2,004,531.25 | 0.625 | 10/15/2016 | | |
| 912828F47 | 1488 | 001 | U.S. Treasury Notes | 2,000,000.00 | 99.9960940 | 1,999,921.88 | 0.500 | 09/30/2016 | | |
| 912828RF9 | 1489 | 001 | U.S. Treasury Notes | 2,000,000.00 | 100.9453125 | 2,018,906.25 | 1.000 | 08/31/2016 | | |
| 912828UE8 | 1490 | 001 | U.S. Treasury Notes | 2,000,000.00 | 99.1875000 | 1,983,750.00 | 0.750 | 12/31/2017 | | |
| 912828VK3 | 1491 | 001 | U.S. Treasury Notes | 2,000,000.00 | 100.7382815 | 2,014,765.63 | 1.375 | 06/30/2018 | | |
| 912828XK1 | 1509 | 001 | U.S. Treasury Notes | 2,000,000.00 | 99.7656425 | 1,995,312.85 | 0.875 | 07/15/2018 | | |
| 912828TH3 | 1510 | 001 | U.S. Treasury Notes | 2,000,000.00 | 98.9023440 | 1,978,046.88 | 0.875 | 07/31/2019 | | |
| 912828TG5 | 1511 | 001 | U.S. Treasury Notes | 2,000,000.00 | 99.5625000 | 1,991,250.00 | 0.500 | 07/31/2017 | | |
| | | | **Subtotal** | **22,000,000.00** | | **22,103,437.88** | | | | |
| **Federal Agency Coupon Securities** | | | | | | | | | | |
| 3133EEM98 | 1496 | 001 | Federal Farm Credit | 2,000,000.00 | 99.9120000 | 1,998,240.00 | 1.000 | 05/21/2018 | | |
| 3133EEX62 | 1502 | 001 | Federal Farm Credit | 1,000,000.00 | 100.0365000 | 1,000,365.00 | 0.800 | 06/16/2017 | | |
| 3133EDSU7 | 1508 | 001 | Federal Farm Credit | 1,000,000.00 | 100.3589000 | 1,003,589.00 | 1.000 | 08/15/2017 | | |
| 3130A53Z0 | 1493 | 001 | Federal Home Loan Bank | 2,000,000.00 | 99.9315000 | 1,998,630.00 | 1.050 | 10/23/2018 | | |
| 3130A4TR3 | 1495 | 001 | Federal Home Loan Bank | 2,000,000.00 | 100.1295000 | 2,002,590.00 | 0.875 | 10/16/2017 | | |
| 3130A5E54 | 1497 | 001 | Federal Home Loan Bank | 2,000,000.00 | 100.0000000 | 2,000,000.00 | 1.100 | 08/13/2018 | | |
| 3133782M2 | 1498 | 001 | Federal Home Loan Bank | 2,000,000.00 | 100.6610000 | 2,013,220.00 | 1.500 | 03/08/2019 | | |
| 313379EE5 | 1499 | 001 | Federal Home Loan Bank | 2,000,000.00 | 100.8820000 | 2,017,640.00 | 1.625 | 06/14/2019 | | |
| 3137EADQ9 | 1446 | 001 | Federal Home Loan Mortgage | 2,000,000.00 | 100.2450000 | 2,004,900.00 | 0.500 | 05/13/2016 | | |
| 3137EADC0 | 1466 | 001 | Federal Home Loan Mortgage | 2,000,000.00 | 100.6260000 | 2,012,520.00 | 1.000 | 03/08/2017 | | |
| 3137EADT3 | 1467 | 001 | Federal Home Loan Mortgage | 2,000,000.00 | 100.3110000 | 2,006,220.00 | 0.875 | 02/22/2017 | | |
| 3137EADF3 | 1468 | 001 | Federal Home Loan Mortgage | 2,000,000.00 | 101.2250000 | 2,024,500.00 | 1.250 | 05/12/2017 | | |
| 3137EADP1 | 1492 | 001 | Federal Home Loan Mortgage | 2,000,000.00 | 99.5770000 | 1,991,540.00 | 0.875 | 03/07/2018 | | |
| 3135G0ES8 | 1453 | 001 | Federal Nat'l Mortgage Assoc. | 2,000,000.00 | 101.2400000 | 2,024,800.00 | 1.375 | 11/15/2016 | | |
| 3135G0JA2 | 1473 | 001 | Federal Nat'l Mortgage Assoc. | 1,000,000.00 | 100.8814000 | 1,008,814.00 | 1.125 | 04/27/2017 | | |
| 3136G0ZH7 | 1480 | 001 | Federal Nat'l Mortgage Assoc. | 2,000,000.00 | 100.0400000 | 2,000,800.00 | 1.050 | 09/05/2017 | | |

# City of Encinitas - Qtr Inv Report
## Investments by Type
## March 31, 2016

| CUSIP | Investment # | Fund | Issuer | | Par Value | Purchase Price | Remaining Cost | Current Rate | Maturity Date | Call Date | Call Price |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **Federal Agency Coupon Securities** | | | | | | | | | | | |
| 3136G0YE5 | 1494 | 001 | Federal Nat'l Mortgage Assoc. | | 1,000,000.00 | 100.4900000 | 1,004,900.00 | 1.000 | 08/28/2017 | | |
| 3135G0ZA4 | 1500 | 001 | Federal Nat'l Mortgage Assoc. | | 2,000,000.00 | 101.7681000 | 2,035,362.00 | 1.875 | 02/19/2019 | | |
| 3135G0E33 | 1501 | 001 | Federal Nat'l Mortgage Assoc. | | 2,000,000.00 | 99.8948000 | 1,997,896.00 | 1.125 | 07/20/2018 | | |
| 3135G0YM9 | 1503 | 001 | Federal Nat'l Mortgage Assoc. | | 2,000,000.00 | 102.0341000 | 2,040,682.00 | 1.875 | 09/18/2018 | | |
| 3135G0TG8 | 1504 | 001 | Federal Nat'l Mortgage Assoc. | | 2,000,000.00 | 99.7034000 | 1,994,068.00 | 0.875 | 02/08/2018 | | |
| 3135G0YT4 | 1505 | 001 | Federal Nat'l Mortgage Assoc. | | 2,000,000.00 | 101.1839000 | 2,023,678.00 | 1.625 | 11/27/2018 | | |
| 3135G0ZG1 | 1506 | 001 | Federal Nat'l Mortgage Assoc. | | 2,000,000.00 | 100.6280000 | 2,012,560.00 | 1.750 | 09/12/2019 | | |
| 3135G0ZY2 | 1507 | 001 | Federal Nat'l Mortgage Assoc. | | 2,000,000.00 | 100.4450000 | 2,008,900.00 | 1.750 | 11/26/2019 | | |
| | | | | **Subtotal** | **44,000,000.00** | | **44,226,414.00** | | | | |
| **Federal Agency Callable Securities** | | | | | | | | | | | |
| 313383FB2 | 1451 | 001 | Federal Home Loan Bank | | 2,900,000.00 | 100.0000000 | 2,900,000.00 | 0.580 | 12/19/2016 | | |
| 3134G56W0 | 1472 | 001 | Federal Home Loan Mortgage | | 1,000,000.00 | 100.0000000 | 1,000,000.00 | 0.650 | 12/23/2016 | 06/23/2016 | 100.0000000 |
| | | | | **Subtotal** | **3,900,000.00** | | **3,900,000.00** | | | | |
| | | | | **Total** | **99,719,440.23** | | **100,049,292.11** | | | | |

# City of Encinitas - Qtr Inv Report
## Interest Earnings
### Sorted by Fund - Fund
### July 1, 2015 - March 31, 2016
### Yield on Beginning Book Value

CITY OF ENCINITAS
505 S. VULCAN
ENCINITAS, ENCINITAS, CA 9
(760)633-2641

| CUSIP | Investment # | Fund | Security Type | Ending Par Value | Beginning Book Value | Ending Book Value | Maturity Date | Current Rate | Annualized Yield | Adjusted Interest Earnings: Interest Earned | Adjusted Interest Earnings: Amortization/ Accretion | Adjusted Interest Earnings: Adjusted Interest Earnings |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Fund: General Investments** | | | | | | | | | | | | |
| SYS1012 | 1012 | 001 | LA5 | 23,063,811.96 | 18,571,359.56 | 23,063,811.96 | | 0.510 | 0.332 | 46,475.12 | 0.00 | 46,475.12 |
| SYS1003 | 1003 | 001 | LA1 | 1,002,239.92 | 1,000,769.07 | 1,002,239.92 | | 0.500 | 0.242 | 1,821.11 | 0.00 | 1,821.11 |
| SYS1004 | 1004 | 001 | LA3 | 28,938.66 | 21,654.28 | 28,938.66 | | 0.180 | 0.927 | 151.19 | 0.00 | 151.19 |
| 3130A53Z0 | 1493 | 001 | FAC | 2,000,000.00 | 1,998,761.24 | 1,998,996.71 | 10/23/2018 | 1.050 | 1.065 | 15,750.00 | 293.80 | 16,043.80 |
| 313370JB5 | 1442 | 001 | FAC | 0.00 | 2,005,155.85 | 0.00 | 09/11/2015 | 1.750 | 0.417 | 6,805.56 | -5,155.85 | 1,649.71 |
| 313379EE5 | 1499 | 001 | FAC | 2,000,000.00 | 2,017,228.36 | 2,013,959.45 | 06/14/2019 | 1.625 | 1.389 | 24,375.00 | -3,268.91 | 21,106.09 |
| 3130A5E54 | 1497 | 001 | FAC | 2,000,000.00 | 2,000,855.56 | 2,000,000.00 | 08/13/2018 | 1.100 | 1.095 | 16,500.00 | 0.00 | 16,500.00 |
| 313383FB2 | 1451 | 001 | MC2 | 2,900,000.00 | 2,900,000.00 | 2,900,000.00 | 12/19/2016 | 0.580 | 0.577 | 12,615.00 | 0.00 | 12,615.00 |
| 3133782M2 | 1498 | 001 | FAC | 2,000,000.00 | 2,019,473.07 | 2,010,267.11 | 03/08/2019 | 1.500 | 1.306 | 22,500.00 | -2,622.63 | 19,877.37 |
| 313371NW2 | 1429 | 001 | FAC | 0.00 | 2,006,806.43 | 0.00 | 12/11/2015 | 1.375 | 0.604 | 12,222.22 | -6,806.43 | 5,415.79 |
| 3130A4TR3 | 1495 | 001 | FAC | 2,000,000.00 | 2,004,480.55 | 2,001,673.40 | 10/16/2017 | 0.875 | 0.815 | 13,125.00 | -814.09 | 12,310.91 |
| 3136G0ZH7 | 1480 | 001 | FAC | 2,000,000.00 | 2,000,614.30 | 2,000,402.74 | 09/05/2017 | 1.050 | 1.031 | 15,750.00 | -211.56 | 15,538.44 |
| 3135G0ES8 | 1453 | 001 | FAC | 2,000,000.00 | 2,010,050.21 | 2,004,557.18 | 11/15/2016 | 1.375 | 0.999 | 20,625.00 | -5,493.03 | 15,131.97 |
| 3135G0E33 | 1501 | 001 | FAC | 2,000,000.00 | 1,998,909.32 | 1,998,422.95 | 07/20/2018 | 1.125 | 1.155 | 16,875.00 | 513.63 | 17,388.63 |
| 3135G0ZA4 | 1500 | 001 | FAC | 2,000,000.00 | 2,048,194.59 | 2,027,913.12 | 02/19/2019 | 1.875 | 1.352 | 28,125.00 | -7,260.64 | 20,864.36 |
| 3135G0JA2 | 1473 | 001 | FAC | 1,000,000.00 | 1,005,570.31 | 1,003,277.65 | 04/27/2017 | 1.125 | 0.811 | 8,437.50 | -2,292.66 | 6,144.84 |
| 3135G0YM9 | 1503 | 001 | FAC | 2,000,000.00 | 2,050,715.28 | 2,031,054.16 | 09/18/2018 | 1.875 | 1.209 | 28,125.00 | -9,452.79 | 18,672.21 |
| 3135G0ZY2 | 1507 | 001 | FAC | 2,000,000.00 | 2,011,788.68 | 2,007,360.69 | 11/26/2019 | 1.750 | 1.632 | 26,250.00 | -1,511.32 | 24,738.68 |
| 3136G0YE5 | 1494 | 001 | FAC | 1,000,000.00 | 1,007,166.79 | 1,003,063.26 | 08/28/2017 | 1.000 | 0.773 | 7,500.00 | -1,631.31 | 5,868.69 |
| 3135G0LN1 | 1444 | 001 | FAC | 0.00 | 2,000,007.18 | 0.00 | 07/02/2015 | 0.500 | 0.376 | 27.78 | -7.18 | 20.60 |
| 3135G0TG8 | 1504 | 001 | FAC | 2,000,000.00 | 2,000,807.82 | 1,995,799.74 | 02/08/2018 | 0.875 | 0.983 | 13,125.00 | 1,700.25 | 14,825.25 |
| 3135G0YT4 | 1505 | 001 | FAC | 2,000,000.00 | 2,026,199.89 | 2,018,388.44 | 11/27/2018 | 1.625 | 1.257 | 24,375.00 | -5,193.39 | 19,181.61 |
| 3135G0ZG1 | 1506 | 001 | FAC | 2,000,000.00 | 2,022,629.69 | 2,010,281.64 | 09/12/2019 | 1.750 | 1.576 | 26,250.00 | -2,236.94 | 24,013.06 |
| 3137EADF3 | 1468 | 001 | FAC | 2,000,000.00 | 2,014,395.36 | 2,008,602.89 | 05/12/2017 | 1.250 | 0.854 | 18,750.00 | -5,792.47 | 12,957.53 |
| 3134G56W0 | 1472 | 001 | MC2 | 1,000,000.00 | 1,000,000.00 | 1,000,000.00 | 12/23/2016 | 0.650 | 0.647 | 4,875.00 | 0.00 | 4,875.00 |
| 3137EADP1 | 1492 | 001 | FAC | 2,000,000.00 | 1,992,972.75 | 1,994,481.57 | 03/07/2018 | 0.875 | 1.017 | 13,125.00 | 2,140.76 | 15,265.76 |
| 3137EADT3 | 1467 | 001 | FAC | 2,000,000.00 | 2,003,461.41 | 2,001,880.06 | 02/22/2017 | 0.875 | 0.765 | 13,125.00 | -1,581.35 | 11,543.65 |
| 3134G3ZA1 | 1448 | 001 | FAC | 0.00 | 2,000,469.10 | 0.00 | 08/28/2015 | 0.500 | 0.351 | 1,583.33 | -469.10 | 1,114.23 |
| 3134A4ZT4 | 1440 | 001 | FAC | 0.00 | 2,047,274.57 | 0.00 | 01/19/2016 | 4.750 | 0.439 | 52,250.00 | -47,274.57 | 4,975.43 |
| 3137EADQ9 | 1446 | 001 | FAC | 2,000,000.00 | 2,001,358.93 | 2,000,182.93 | 05/13/2016 | 0.500 | 0.419 | 7,500.00 | -1,176.00 | 6,324.00 |

# City of Encinitas - Qtr Inv Report
## Interest Earnings
## July 1, 2015 - March 31, 2016

| CUSIP | Investment # | Fund | Security Type | Ending Par Value | Beginning Book Value | Ending Book Value | Maturity Date | Current Rate | Annualized Yield | Adjusted Interest Earnings: Interest Earned | Adjusted Interest Earnings: Amortization/ Accretion | Adjusted Interest Earnings: Adjusted Interest Earnings |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Fund: General Investments** | | | | | | | | | | | | |
| 3137EADC0 | 1466 | 001 | FAC | 2,000,000.00 | 2,007,049.76 | 2,003,913.95 | 03/08/2017 | 1.000 | 0.785 | 15,000.00 | -3,135.81 | 11,864.19 |
| 3133EEM98 | 1496 | 001 | FAC | 2,000,000.00 | 1,998,629.05 | 1,998,738.18 | 05/21/2018 | 1.000 | 1.026 | 15,000.00 | 442.46 | 15,442.46 |
| 3133EDSU7 | 1508 | 001 | FAC | 1,000,000.00 | 1,007,204.55 | 1,002,305.55 | 08/15/2017 | 1.000 | 0.822 | 7,500.00 | -1,260.11 | 6,239.89 |
| 3133ECGT5 | 1447 | 001 | FAC | 0.00 | 1,999,917.95 | 0.00 | 03/01/2016 | 0.410 | 0.415 | 5,466.67 | 82.05 | 5,548.72 |
| 3133EEX62 | 1502 | 001 | FAC | 1,000,000.00 | 1,000,539.19 | 1,000,223.00 | 06/16/2017 | 0.800 | 0.778 | 6,000.00 | -138.41 | 5,861.59 |
| 912828RF9 | 1489 | 001 | TRC | 2,000,000.00 | 2,012,834.61 | 2,004,568.76 | 08/31/2016 | 1.000 | 0.448 | 15,054.35 | -8,265.85 | 6,788.50 |
| 912828VK3 | 1491 | 001 | TRC | 2,000,000.00 | 2,013,187.90 | 2,009,875.87 | 06/30/2018 | 1.375 | 1.141 | 20,625.82 | -3,312.03 | 17,313.79 |
| 912828UE8 | 1490 | 001 | TRC | 2,000,000.00 | 1,986,619.37 | 1,990,645.27 | 12/31/2017 | 0.750 | 1.021 | 11,250.45 | 4,025.90 | 15,276.35 |
| 912828F47 | 1488 | 001 | TRC | 2,000,000.00 | 1,999,945.83 | 1,999,978.43 | 09/30/2016 | 0.500 | 0.501 | 7,513.66 | 32.60 | 7,546.26 |
| 912828WA4 | 1481 | 001 | TRC | 2,000,000.00 | 2,003,008.09 | 2,001,255.49 | 10/15/2016 | 0.625 | 0.506 | 9,392.08 | -1,752.60 | 7,639.48 |
| 912828QJ2 | 1462 | 001 | TRC | 0.00 | 2,022,570.04 | 0.00 | 02/29/2016 | 2.125 | 0.425 | 28,294.84 | -22,570.04 | 5,724.80 |
| 912828QF0 | 1461 | 001 | TRC | 2,000,000.00 | 2,025,031.48 | 2,002,387.87 | 04/30/2016 | 2.000 | 0.487 | 30,074.06 | -22,643.61 | 7,430.45 |
| 912828TG5 | 1511 | 001 | TRC | 2,000,000.00 | 0.00 | 1,992,282.21 | 07/31/2017 | 0.500 | 0.794 | 1,784.52 | 1,032.21 | 2,816.73 |
| 912828TH3 | 1510 | 001 | TRC | 2,000,000.00 | 0.00 | 1,979,160.82 | 07/31/2019 | 0.875 | 1.203 | 3,122.91 | 1,113.94 | 4,236.85 |
| 912828XK1 | 1509 | 001 | TRC | 2,000,000.00 | 0.00 | 1,996,228.29 | 07/15/2018 | 0.875 | 0.975 | 3,125.00 | 338.52 | 3,463.52 |
| 912828VG2 | 1464 | 001 | TRC | 2,000,000.00 | 1,999,285.34 | 1,999,846.86 | 06/15/2016 | 0.500 | 0.536 | 7,513.66 | 561.52 | 8,075.18 |
| 912828QX1 | 1459 | 001 | TRC | 2,000,000.00 | 2,020,852.72 | 2,006,371.67 | 07/31/2016 | 1.500 | 0.528 | 22,513.66 | -14,481.05 | 8,032.61 |
| 36962G4N1 | 1421 | 001 | MTN | 0.00 | 999,631.71 | 0.00 | 08/11/2015 | 1.000 | 1.318 | 1,111.12 | 368.29 | 1,479.41 |
| 38143AP25 | 1435 | 001 | BCD | 0.00 | 248,000.00 | 0.00 | 10/26/2015 | 1.050 | 1.050 | 834.71 | 0.00 | 834.71 |
| 795450PZ2 | 1437 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 10/24/2016 | 1.350 | 1.350 | 2,522.47 | 0.00 | 2,522.47 |
| 02587DLV8 | 1438 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 10/25/2017 | 1.500 | 1.500 | 2,802.74 | 0.00 | 2,802.74 |
| 36160XY43 | 1439 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 10/26/2016 | 1.350 | 1.350 | 2,522.46 | 0.00 | 2,522.46 |
| 02005QV34 | 1449 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 04/11/2016 | 0.650 | 0.650 | 1,214.52 | 0.00 | 1,214.52 |
| 05568P3A3 | 1450 | 001 | BCD | 0.00 | 248,000.00 | 0.00 | 10/13/2015 | 0.650 | 0.650 | 459.30 | 0.00 | 459.30 |
| 0606247A5 | 1454 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 11/13/2018 | 2.050 | 2.050 | 3,830.41 | 0.00 | 3,830.41 |
| 20033ADJ2 | 1455 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 11/14/2016 | 1.000 | 1.000 | 1,868.50 | 0.00 | 1,868.50 |
| 92937CBM9 | 1456 | 001 | BCD | 0.00 | 248,000.00 | 0.00 | 11/16/2015 | 0.650 | 0.650 | 609.47 | 0.00 | 609.47 |
| 20451PKJ4 | 1470 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 05/11/2017 | 1.050 | 1.050 | 1,961.92 | 0.00 | 1,961.92 |
| 628779FH8 | 1471 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 06/06/2017 | 0.950 | 0.950 | 1,775.07 | 0.00 | 1,775.07 |
| 23204HBH0 | 1474 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 06/19/2017 | 0.950 | 0.950 | 1,775.07 | 0.00 | 1,775.07 |
| 52168UCU4 | 1476 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 04/21/2017 | 1.050 | 1.050 | 1,961.91 | 0.00 | 1,961.91 |
| 254672CB2 | 1477 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 10/22/2018 | 1.800 | 1.800 | 3,363.29 | 0.00 | 3,363.29 |
| 87164YEB6 | 1478 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 10/17/2019 | 2.000 | 2.000 | 3,736.99 | 0.00 | 3,736.99 |
| 140420QG8 | 1479 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 10/24/2016 | 1.000 | 1.000 | 1,868.49 | 0.00 | 1,868.49 |
| 45083ADZ2 | 1482 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 12/04/2017 | 1.200 | 1.200 | 2,242.19 | 0.00 | 2,242.19 |
| 03784JDM3 | 1483 | 001 | BCD | 0.00 | 248,000.00 | 0.00 | 12/31/2015 | 0.400 | 0.400 | 497.35 | 0.00 | 497.35 |
| 8562843F9 | 1484 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 12/05/2018 | 2.000 | 2.000 | 3,736.99 | 0.00 | 3,736.99 |

# City of Encinitas - Qtr Inv Report
## Interest Earnings
## July 1, 2015 - March 31, 2016

| CUSIP | Investment # | Fund | Security Type | Ending Par Value | Beginning Book Value | Ending Book Value | Maturity Date | Current Rate | Annualized Yield | Adjusted Interest Earnings: Interest Earned | Adjusted Interest Earnings: Amortization/ Accretion | Adjusted Interest Earnings: Adjusted Interest Earnings |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Fund: General Investments** | | | | | | | | | | | | |
| 33767AGC9 | 1485 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 06/06/2016 | 0.800 | 0.800 | 1,494.79 | 0.00 | 1,494.79 |
| 71270QKU9 | 1486 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 12/12/2016 | 1.000 | 1.000 | 1,868.49 | 0.00 | 1,868.49 |
| 17284C5K6 | 1487 | 001 | BCD | 248,000.00 | 248,000.00 | 248,000.00 | 12/10/2019 | 2.200 | 2.200 | 4,110.69 | 0.00 | 4,110.69 |
| | | | **Subtotal** | **98,458,990.54** | **104,341,437.74** | **98,577,338.45** | | | **0.791** | **756,414.43** | **-175,165.80** | **581,248.63** |
| | | | **Total** | **98,458,990.54** | **104,341,437.74** | **98,577,338.45** | | | **0.791** | **756,414.43** | **-175,165.80** | **581,248.63** |

CITY OF ENCINITAS
505 S. VULCAN
ENCINITAS, ENCINITAS, CA 9
(760)633-2641

# City of Encinitas - Qtr Inv Report
## Realized Gains and Losses
### Sorted By Investment Type
### Sales/Calls/Maturities: July 1, 2015 - March 31, 2016

| Investment # / Issuer | Inv. Type | Purchase Date | Par Value / Current Rate | Sale Date / Maturity Date | Days Held / Term | Book Value | Maturity/Sale Proceeds | Realized Gain/Loss | Total Earnings | Total Net Earnings | Total Yield 365 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **Certificates of Deposit - Bank** | | | | | | | | | | | |
| 1450 BMW Bank North America | BCD | 04/12/2013 | 248,000.00 / 0.650 | 10/13/2015 / 10/13/2015 | 914 / 914 | 248,000.00 | 248,000.00 | 0.00 | 4,036.62 | 4,036.62 | 0.650 |
| 1435 Goldman Sachs Bank, USA | BCD | 10/24/2012 | 248,000.00 / 1.050 | 10/26/2015 / 10/26/2015 | 1,097 / 1,097 | 248,000.00 | 248,000.00 | 0.00 | 7,826.27 | 7,826.27 | 1.050 |
| 1456 Wex Bank | BCD | 11/15/2013 | 248,000.00 / 0.650 | 11/16/2015 / 11/16/2015 | 731 / 731 | 248,000.00 | 248,000.00 | 0.00 | 3,228.42 | 3,228.42 | 0.650 |
| 1483 Apple Bank for Savings | BCD | 12/10/2014 | 248,000.00 / 0.400 | 12/31/2015 / 12/31/2015 | 386 / 386 | 248,000.00 | 248,000.00 | 0.00 | 1,049.07 | 1,049.07 | 0.400 |
| | | | Certificates of Deposit - Bank | | Subtotals | **992,000.00** | **992,000.00** | **0.00** | **16,140.38** | **16,140.38** | **0.687** |
| **U.S. Treasury Coupon Securities** | | | | | | | | | | | |
| 1462 U.S. Treasury Notes | TRC | 01/17/2014 | 2,000,000.00 / 2.125 | 02/29/2016 / 02/29/2016 | 773 / 773 | 2,000,000.00 | 2,000,000.00 | 0.00 | 18,134.06 | 18,134.06 | 0.428 |
| | | | U.S. Treasury Coupon Securities | | Subtotals | **2,000,000.00** | **2,000,000.00** | **0.00** | **18,134.06** | **18,134.06** | **0.428** |
| **Federal Agency Coupon Securities** | | | | | | | | | | | |
| 1444 Federal Nat'l Mortgage Assoc. | FAC | 12/27/2012 | 2,000,000.00 / 0.500 | 07/02/2015 / 07/02/2015 | 917 / 917 | 2,000,000.00 | 2,000,000.00 | 0.00 | 18,638.89 | 18,638.89 | 0.371 |
| 1448 Federal Home Loan Mortgage | FAC | 03/28/2013 | 2,000,000.00 / 0.500 | 08/28/2015 / 08/28/2015 | 883 / 883 | 2,000,000.00 | 2,000,000.00 | 0.00 | 17,006.67 | 17,006.67 | 0.351 |
| 1442 Federal Home Loan Bank | FAC | 12/27/2012 | 2,000,000.00 / 1.750 | 09/11/2015 / 09/11/2015 | 988 / 988 | 2,000,000.00 | 2,000,000.00 | 0.00 | 22,954.44 | 22,954.44 | 0.424 |
| 1429 Federal Home Loan Bank | FAC | 06/27/2012 | 2,000,000.00 / 1.375 | 12/11/2015 / 12/11/2015 | 1,262 / 1,262 | 2,000,000.00 | 2,000,000.00 | 0.00 | 42,107.78 | 42,107.78 | 0.609 |
| 1440 Federal Home Loan Mortgage | FAC | 12/27/2012 | 2,000,000.00 / 4.750 | 01/19/2016 / 01/19/2016 | 1,118 / 1,118 | 2,000,000.00 | 2,000,000.00 | 0.00 | 27,691.56 | 27,691.56 | 0.452 |
| 1447 Federal Farm Credit | FAC | 03/28/2013 | 2,000,000.00 / 0.410 | 03/01/2016 / 03/01/2016 | 1,069 / 1,069 | 2,000,000.00 | 2,000,000.00 | 0.00 | 24,345.00 | 24,345.00 | 0.416 |
| | | | Federal Agency Coupon Securities | | Subtotals | **12,000,000.00** | **12,000,000.00** | **0.00** | **152,744.34** | **152,744.34** | **0.437** |
| **Corporate Medium Term Notes** | | | | | | | | | | | |
| 1421 General Electric Capital Corp | MTN | 02/22/2012 | 1,000,000.00 / 1.000 | 08/11/2015 / 08/11/2015 | 1,266 / 1,266 | 1,000,000.00 | 1,000,000.00 | 0.00 | 48,130.38 | 48,130.38 | 1.388 |
| | | | Corporate Medium Term Notes | | Subtotals | **1,000,000.00** | **1,000,000.00** | **0.00** | **48,130.38** | **48,130.38** | **1.388** |
| | | | | **Total Realized Gains/Losses** | | **15,992,000.00** | **15,992,000.00** | **0.00** | **235,149.16** | **235,149.16** | **0.511** |

Data Updated: SET_QTR: 04/11/2016 10:09
Run Date: 04/11/2016 - 10:09

No fiscal year history available

Portfolio ENCI
AC
CG (PRF_CGR1) 7.1.1
Report Ver. 7.3.5